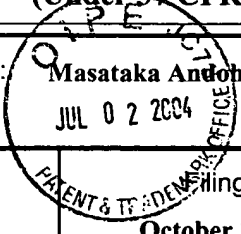
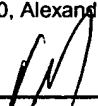
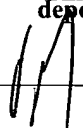
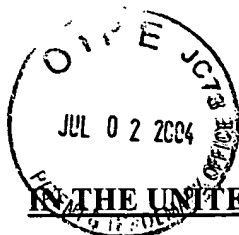


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 17199	
In Re Application Of: Masataka Andoh, et al.			
Serial No. 10/697,762	Filing Date October 30, 2003	Examiner	Group Art Unit 2857
Title: EDR DIRECTION ESTIMATING METHOD, SYSTEM AND PROGRAM, AND MEMORY MEDIUM FOR STORING THE PROGRAM			
<div style="text-align: center;"> <p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div> <p style="text-align: center;">OR</p> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div> </div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 17199
In Re Application: Masataka Andoh, et al. <div style="text-align: center; margin-top: 10px;">  </div>			
Serial No. 10/697,762	Filing Date October 30, 2003	Examiner	Group Art Unit 2857
EDR DIRECTION ESTIMATING METHOD, SYSTEM AND PROGRAM, AND MEMORY MEDIUM FOR STORING THE PROGRAM			
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. </p> <p> <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ </div> <div style="margin-left: 40px;"> <input type="checkbox"/> Credit any overpayment. </div> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> Charge any additional fee required. </div>			
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail	
<div style="border: 1px solid black; padding: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;"> (Date) </div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;"> Signature </div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;"> Typed or Printed Name of Person Signing Certificate </div> </div>		<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on June 28, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. <div style="text-align: center; margin-top: 10px;">  </div> <div style="border-top: 1px solid black; margin-top: 5px; text-align: center;"> Signature of Person Mailing Correspondence </div> <div style="border-top: 1px solid black; margin-top: 5px; text-align: center;"> Paul J. Esatto, Jr. </div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;"> Typed or Printed Name of Person Mailing Certificate </div> </div>	
<p> *This certificate may only be used if paying by deposit account. </p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;">  <div style="border-top: 1px solid black; margin-top: 5px; text-align: center;"> Signature </div> </div> <div style="width: 50%;"> Dated: June 28, 21004 </div> </div> <p style="margin-top: 10px;"> Paul J. Esatto, Jr., Reg. No. 30,749 Customer No. 23389 </p>			
CC:			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Masataka Andoh et al. **Examiner:** Unassigned
Serial No: 10/697,762 **Art Unit:** 2857
Filed: October 30, 2003 **Docket:** 17199
For: EDR DIRECTION ESTIMATING METHOD, SYSTEM, AND PROGRAM, AND MEMORY MEDIUM FOR STORING THE PROGRAM **Dated:** June 28, 2004

Confirmation No. 8756

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Ker-Chau Li, "Sliced Inverse Regresssion for Dimension Reduction", Journal of the American Statistical Association, June 1991, Vol. 86, No. 414, pp. 316-342.
2. Wolfgang Haerdle, et al., "Optimal Smoothing in Single-Index Models", The Annals of Statistics, 1993, Vol. 21, No. 1, 157-178.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on June 28, 2004.

Dated: June 28, 2004


Paul J. Esatto, Jr.

3. Yingcun Xia, "An Adaptive Estimation of Dimension Reduction Space", J.R. Statist. Soc. B, 2002, Vol. 64, Part 3, pp. 363-410.

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No.: 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:AE

Form PTO-1449
(REV. 7-80) U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)***Atty. Docket No. (Optional)**

17199

Application Number

10/697,762

Applicant(s)

Masataka Andoh, et al.

Filing Date

October 30, 2003

Group Art Unit

2857

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Ker-Chau Li, "Sliced Inverse Regresssion for Dimension Reduction", Journal of the American Statistical Association, June 1991, Vol. 86, No. 414, pp. 316-342.
		Wolfgang Haerdle, et al., "Optimal Smoothing in Single-Index Models", The Annals of Statistics, 1993, Vol. 21, No. 1, 157-178.
		Yingcun Xia, "An Adaptive Estimation of Dimension Reduction Space", J.R. Statist. Soc. B, 2002, Vol. 64, Part 3, pp. 363-410.

EXAMINER**DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.